

## APPENDIX B

Client	Directorate/Service	Audit Area	Quarter	Status	Opinion	No. of recs	◀ Major - Recommendations - Minor ▶				
							5	4	3	2	1
SSDC	Additional Review	Air Control Industries Site & Chard Regeneration Scheme Statement of Accounts	Qtr1	Complete	Non-Opinion	0	0	0	0	0	0
SSDC	Key Control Audits	Car Parks	Qtr1	Complete	Reasonable	11	0	2	9	0	0
SSDC	Operational Audits	Catering	Qtr1	Complete	Reasonable	11	0	0	11	0	0
SSDC	Operational Audits	Childcare Nursery	Qtr1	Complete	Reasonable	10	0	0	6	4	0
SSDC	Governance, Fraud & Corruption	Data Quality - Performance Indicators	Qtr1	Complete	Reasonable	4	0	0	4	0	0
SSDC	Key Control Audits	Goldenstones	Qtr1	Complete	Reasonable	12	0	0	12	0	0
SSDC	Governance, Fraud & Corruption	Health & Safety - Internal Awareness and Training	Qtr1	Complete	Reasonable	3	0	0	1	2	0
SSDC	Key Control Audits	Homelessness	Qtr1	Complete	Reasonable	17	0	0	13	4	0
SSDC	Additional Review	Yeovil Cemetery & Crematorium Annual Return	Qtr1	Complete	Non-Opinion	0	0	0	0	0	0
SSDC	Operational Audits	Asset Management Follow-Up	Qtr2	Complete	Reasonable	9	0	1	4	4	0
SSDC	Operational Audits	Building Control	Qtr2	Complete	Reasonable	7	0	2	5	0	0
SSDC	ICT - Security	Corporate back up routines	Qtr2	Complete	Reasonable	2	0	0	2	0	0
SSDC	Governance, Fraud & Corruption	Equalities and Diversity Impact Assessments	Qtr2	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Key Control Audits	Licensing	Qtr2	Complete	Reasonable	3	0	0	2	1	0
SSDC	Governance, Fraud & Corruption	Performance - NI 179 Efficiency Statements	Qtr2	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Governance, Fraud & Corruption	Performance - NI 188 Use of Natural Resources	Qtr2	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Operational Audits	VAT	Qtr2	Complete	Reasonable	2	0	0	1	1	0
SSDC	Managed Audits	Budgetary Control	Qtr3	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Managed Audits	Capital Accounting	Qtr3	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Managed Audits	Council Tax	Qtr3	Complete	Reasonable	1	0	0	1	0	0
SSDC	Managed Audits	Creditors	Qtr3	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Managed Audits	Debtors	Qtr3	Complete	Reasonable	1	0	0	1	0	0
SSDC	Governance, Fraud & Corruption	Fees and Charges	Qtr3	In Progress		0	0	0	0	0	0
SSDC	Governance, Fraud & Corruption	Gifts & Hospitality - Register of Interests (Members)	Qtr3	Final	Comprehensive	1	0	0	0	1	0
SSDC	Governance, Fraud & Corruption	Gifts and Hospitality - Register of Interests (Officers)	Qtr3	Final	Partial	3	0	0	3	0	0
SSDC	Managed Audits	Housing Benefits	Qtr3	Complete	Comprehensive	0	0	0	0	0	0

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							5	4	3	2	1
SSDC	Managed Audits	Main Accounting	Qtr3	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Managed Audits	NNDR	Qtr3	Complete	Reasonable	4	0	1	3	0	0
SSDC	Managed Audits	Payroll	Qtr3	Complete	Reasonable	1	0	0	1	0	0
SSDC	Governance, Fraud & Corruption	Risk Management (Risk Registers including Major Projects)	Qtr3	In Progress		0	0	0	0	0	0
SSDC	Operational Audits	Tourism (TIC's)	Qtr3	Dropped	N/A	0	0	0	0	0	0
SSDC	Managed Audits	Treasury Management	Qtr3	Complete	Comprehensive	0	0	0	0	0	0
SSDC	Key Control Audits	Yeovil Recreation Centre	Qtr3	Final	Reasonable	1	0	0	1	0	0
SSDC	Governance, Fraud & Corruption	Business Continuity - Major Partnerships & Contracts	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Operational Audits	Care Line	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Operational Audits	Choice Based Lettings	Qtr4	Created		0	0	0	0	0	0
SSDC	Operational Audits	Corporate Policy & Performance	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Operational Audits	Countryside & Rights of Way	Qtr4	Created		0	0	0	0	0	0
SSDC	ICT - Security	Firewall Change Management	Qtr4	Created		0	0	0	0	0	0
SSDC	Governance, Fraud & Corruption	Income Collection (Analytical Review including Budgets and Methods of Payment)	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Operational Audits	Innovation Centre (Key Controls)	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Additional Review	Innovation Centre - Operational Review	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Operational Audits	Octagon Theatre	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Key Control Audits	Octagon Theatre - Production Company Contracts	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Operational Audits	Printing & Copying	Qtr4	Created		0	0	0	0	0	0
SSDC	Operational Audits	Section 106 & Commuted Sums Follow-Up	Qtr4	Created		0	0	0	0	0	0
SSDC	Operational Audits	Streetscene	Qtr4	Deffered	N/A	0	0	0	0	0	0
SSDC	ICT - Security	Threat Protection	Qtr4	In Progress		0	0	0	0	0	0
SSDC	Special Review	Whistleblow	Qtr4	In Progress	Non-Opinion	0	0	0	0	0	0
						103	0	6	80	17	0